



**FCMB Bank (UK) Limited**

**Corporate Banking  
Account Opening Application Form**

FCMB Bank (UK) Limited  
81 Gracechurch Street  
London  
EC3V 0AU

## Section 1 – Account Opening Criteria

### 1.1 Who Can Open An Account With Us?

*We are able to open accounts for Wholesale Banking clients. Our requirements in this regard, is the company requesting the account must be able to satisfy at least two of the following three criteria:*

1. Turnover – Greater than £10.2m net (tick following box if applicable)
2. Total Assets – Greater than £5.1m net (tick following box if applicable)
3. Employees – More than 50 (tick following box if applicable)

*We regret that if your company does not meet at least two of these criteria then at present we cannot open an account for you.*

### 1.2 Required Documentation

In respect of your company we will require all the following documents:

1. Certificate of Incorporation.
2. Memorandum & Articles of Association.
3. The company's up to date Audited Financial Statements *(You will be advised if the last 3 years are required).*
4. Copy of your Company's current share register. *(We do not accept company capital which incorporates bearer shares).*

*Please note; If any of your Company's capital includes "" then we regret we cannot open an account for you.*

### 1.3 Account Type Required

*Please select the account(s) you would like below:*

Fixed Term Deposit Account:	GBP	USD	EUR	3Months	6Months	1Yr	Over 1Yr
Notice Deposit Account:	GBP	USD	EUR	3Months	6Months	1Yr	Over 1Yr
Operating Account:	GBP	USD	EUR				

*Please discuss your needs with the Relationship Manager; if you require lower or longer term.*

**Section 2 - About the Company**

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**2.1 Company's Details**

Entity Name:

Trading Name (if applicable):

Company's Registration Number:

Registered Address

Correspondence Address  
(if different from the registered address):

**2.2 Key Contact**

Full Name:

Position/Capacity:

Contact Tel/Mob Number:

Fax Number:

Email Address:

**2.3 Accountants Details**

Name:

Address:

Phone Number:

Email:

**2.4 The Company's Bankers**

Name:

Address:

Time with the Bank

### Section 3 – About the Company (Activities)

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#### 3.1 Business Activity

Nature of the Company's Business:

List countries where business activities are conducted:

Tick if your business involves      Import      Export      Both      or Neither      Activities

To which countries do you export/accept funds to?

From which countries do you import/remit funds from?

#### 3.2 Business Activity (Continued)

Anticipated annual turnover at FCMB Bank UK (USD):

Anticipated number of transactions per month:

Anticipated maximum amount per transaction (USD):

#### Section 3.3 – About the Company (Tax)

*Regulations based on the OECD Common Reporting Standard ("CRS") require FCMB Bank (UK) Limited to collect and report certain information about an account holder's tax residency. If your tax residence (or the account holder, if you are completing the form on their behalf) is located outside the United Kingdom, we may be legally obliged to pass on the information in this form and other financial information with respect to your financial accounts to Her Majesty's Revenue and Customs (HMRC) or the tax authorities in the country where your company may be located.*

*As a Bank, we are not allowed to give tax advice. If you have any questions about the form, these instructions, or defining your tax residency status, please speak to your tax adviser or local tax authority. You can also find out more, including a list of jurisdictions that have signed agreements to automatically exchange information, along with details about the information being requested, on the OECD automatic exchange of information portal.*

#### **Please provide the below where applicable:**

The company's Tax Identification Number (TIN) and or Global Intermediary Identification Number (GIIN) and or any other Tax Identification number (If applicable).

Country of Domicile of the Business:

Country of Residency of the Business:

## Section 4 – About the Directors

Page 7 to 12 of this form is to be completed by ALL Directors of the Company – Please print/copy and complete as many copies of this page as required.

### 4.1 Directors Profile

UK regulations require the collection of information regarding an account holder's tax residency and citizenship. Therefore, please complete the relevant questions below and provide the information requested. Please note that we may be required to share this information about you with the relevant tax authorities.

Title: Surname: First names:

Other Names

Date of Birth: Passport Number: Date of Issue: Nationality:

Town of Birth: Country of Birth:

Email Address

Tax Identification Number (TIN): Date of Residency:

The format of the TIN for a number of jurisdictions is provided in the OECD information portal for CRS. For the purposes of CDOT reporting, it should be noted that Guernsey, Jersey and Gibraltar use social security numbers, whereas the Isle of Man issues National Insurance Numbers.

Please provide a reason in the below box, if you are unable to provide a Tax Identification Number (TIN) or any further information on your TIN status

**Current Domicile Address:**

Home Phone Number: Mobile Phone Number:

Period at Domicile address, from: to

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**Please fill the below if the total period at the "Domicile Address" is less than 3 years**

**Previous Domicile Address (1):**

Period at Domicile address (1), from: \_\_\_\_\_ to \_\_\_\_\_

**Previous Domicile Address (2):**

Period at Domicile address (2), from: \_\_\_\_\_ to \_\_\_\_\_

Current Resident Address:

Home Phone Number:

Mobile Phone Number:

**Correspondence Address:**

*Please provide names of other entities / companies / where you are a controlling person\*;*

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Capacity:

Capacity:

Capacity:

Capacity:

*\* A Controlling Person" is a natural person who exercises control over an entity. This definition corresponds to the term "beneficial owner" as described in Recommendation 10 of the FATF Recommendations.*

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Have you ever been involved with a company that has been subject to bankruptcy, creditor's process, insolvency, either voluntary or involuntary, or any other similar proceedings? (If yes, please give details below):-

Please provide any other information that you may wish to provide the Bank which you think is relevant for opening of the Account:

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**Directors Declaration**

I understand that the entity \_\_\_\_\_ is making an application to FCMB Bank (UK) Limited for banking services. I confirm that the details contained herein above are true and complete to the best of my belief and information. Should any of the details change, or should my involvement in the entity change in any material way, I shall inform FCMB Bank (UK) Limited immediately.

I further understand that FCMB Bank (UK) Limited may make credit reference search and/or searches on other data bases for fraud prevention, anti-money laundering and sanctions/embargos for the purpose of assessing this application for opening account. I am aware that the credit reference/fraud prevention agencies may record any searches, and other lenders may use this record when assessing a credit application from me or any member of my household. By signing this application I give my consent to these searches being made by FCMB Bank (UK) Limited or its authorised entity.

Print Name:

Signature:

Date:

**Note:** *Please indicate the capacity in which you are signing the form. If you are signing under a Power of Attorney, please attach a certified copy of the power of attorney.*

Position/ Capacity:

**More Directors Declaration**

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I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with FCMB Bank (UK) Limited "the Bank" setting out how the Bank may use and share the information supplied by me.

I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be reported to Her Majesty's Revenue and Customs (HMRC) and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident pursuant to intergovernmental agreements to exchange financial account information with the United Kingdom.

I certify that I am authorised to sign for the Account Holder in respect of all the account(s) to which this form relates.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

I undertake to advise the Bank within **30** days of any change in circumstances which affects the tax residency status of the Account Holder identified in this form or causes the information contained herein to become incorrect. This includes any changes to the information on Controlling Persons and to provide the Bank with a suitably updated self-certification and Declaration within **60** days of such change in circumstances.

Print Name:

Signature:

Date:

**Note:** *Please indicate the capacity in which you are signing the form. If you are signing under a Power of Attorney, please attach a certified copy of the power of attorney.*

Position/ Capacity:

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**The Directors**

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- |           |          |              |
|-----------|----------|--------------|
| 1. Title: | Surname: | Other Names: |
| 2. Title: | Surname: | Other Names: |
| 3. Title: | Surname: | Other Names: |
| 4. Title: | Surname: | Other Names: |
| 5. Title: | Surname: | Other Names: |
| 6. Title: | Surname: | Other Names: |
| 7. Title: | Surname: | Other Names: |

**The Directors (Specimen Signatures)**

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- |               |           |
|---------------|-----------|
| 1. Full Name: | Signature |
| 2. Full Name: | Signature |
| 3. Full Name: | Signature |
| 4. Full Name: | Signature |
| 5. Full Name: | Signature |
| 6. Full Name: | Signature |
| 7. Full Name: | Signature |

*Print off an extra page if there are additional directors and signatures to present to us.*



**Section 5 - The Company's Shareholders**

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*Page 9 to 12 of this form is to be completed by ALL Shareholders holding 25% or more of the Company – Please print/copy and complete as many copies of this page as required.*

- |    |        |          |             |             |
|----|--------|----------|-------------|-------------|
| 1. | Title: | Surname: | Other Names | %Share held |
| 2. | Title: | Surname: | Other Names | %Share held |
| 3. | Title: | Surname: | Other Names | %Share held |
| 4. | Title: | Surname: | Other Names | %Share held |
| 5. | Title: | Surname: | Other Names | %Share held |
| 6. | Title: | Surname: | Other Names | %Share held |

*Print off an extra page if there are additional signatures you wish to present to us.*

*Note: Each shareholder holding more than 25% shareholding must complete **Section 6, Annexure A and B***

## Section 6 – About the Company's Shareholders

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*UK regulations require the collection of information regarding an account holder's tax residency and citizenship. Therefore, please complete the relevant questions below and provide the information requested. Please note that we may be required to share this information about you with the relevant tax authorities.*

*Regulations based on the OECD Common Reporting Standard ("CRS") require FCMB Bank (UK) Limited to collect and report certain information about an account holder's tax residency. If your tax residence (or the account holder, if you are completing the form on their behalf) is located outside the United Kingdom, we may be legally obliged to pass on the information in this form and other financial information with respect to your financial accounts to Her Majesty's Revenue and Customs (HMRC) or the tax authorities in the country where your company may be located.*

*As a Bank, we are not allowed to give tax advice. If you have any questions about the form, these instructions, or defining your tax residency status, please speak to your tax adviser or local tax authority. You can also find out more, including a list of jurisdictions that have signed agreements to automatically exchange information, along with details about the information being requested, on the OECD automatic exchange of information portal.*

Title: Surname: First names:

Other Names

Date of Birth: Passport Number: Date of Issue: Nationality:

Town of Birth: Country of Birth:

Email Address

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Tax Identification Number (TIN): Date of Residency:

*The format of the TIN for a number of jurisdictions is provided in the OECD information portal for CRS. For the purposes of CDOT reporting, it should be noted that Guernsey, Jersey and Gibraltar use social security numbers, whereas the Isle of Man issues National Insurance Numbers.*

*Please provide a reason in the below box, if you are unable to provide a Tax Identification Number (TIN) or any further information on your TIN status*

**Current Domicile Address:**

Home Phone Number: Mobile Phone Number:

Period at Domicile address, from: to

Please fill the following if the total period at the "Domicile Address" is less than 3 years

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**Previous Domicile Address (1):**

Period at Domicile address (1), from: to

**Previous Domicile Address (2):**

Period at Domicile address (2), from: to

**Current Tax Residence Address:**

Home Phone Number: Mobile Phone Number:

**Correspondence Address (if applicable):**

**Employment – Current/previous**

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Current Employment Status: Occupation (Current/Former):

Name of Employer (Former/Current):

Employers Address (Former/Current):

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*Please provide names of other entities / companies / where you are a controlling person\*;*

Capacity:

Capacity:

Capacity:

Capacity:

*\* A Controlling Person" is a natural person who exercises control over an entity. This definition corresponds to the term "beneficial owner" as described in Recommendation 10 of the FATF Recommendations.*

Have you ever been involved with a company that has been subject to personal bankruptcy, creditor's process, insolvency, either voluntary or involuntary, or any other similar proceedings? (If yes, please give details below):-

Please provide any other information that you may wish to provide the Bank which you think is relevant for opening of the Account:

## Shareholders Declaration

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I understand that the entity \_\_\_\_\_ is making an application to FCMB Bank (UK) Limited for banking services. I confirm that the details contained herein above are true and complete to the best of my belief and information. Should any of the details change, or should my involvement in the entity change in any material way, I shall inform FCMB Bank (UK) Limited immediately.

I further understand that FCMB Bank (UK) Limited may make credit reference search and/or searches on other data bases for fraud prevention, anti-money laundering and sanctions/embargos for the purpose of assessing this application for opening account. I am aware that the credit reference/fraud prevention agencies may record any searches, and other lenders may use this record when assessing a credit application from me or any member of my household. By signing this application I give my consent to these searches being made by FCMB Bank (UK) Limited or its authorised entity.

Print Name:

Signature:

Date:

## Shareholders Self Certification

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with FCMB Bank (UK) Limited "the Bank" setting out how the Bank may use and share the information supplied by me.

I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be reported to Her Majesty's Revenue and Customs (HMRC) and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident pursuant to intergovernmental agreements to exchange financial account information with the United Kingdom.

I certify that I am authorised to sign for the Account Holder in respect of all the account(s) to which this form relates.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

I undertake to advise the Bank within 30 days of any change in circumstances which affects the tax residency status of the Account Holder identified in this form or causes the information contained herein to become incorrect. This includes any changes to the information on Controlling Persons and to provide the Bank with a suitably updated self-certification and Declaration within 60 days of such change in circumstances.

Print Name:

Signature:

Date:

**Note:** Please indicate the capacity in which you are signing the form. If you are signing under a Power of Attorney, please attach a certified copy of the power of attorney.

## Annexure A – The Account Signatories

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*This Page is to be completed by signatories to the account– Please print/copy and complete as many copies of this page as required.*

### Authorised Signatories – Details

1. Title:                      Surname:                      Other Names:  
Contact Number:                      Position:                      Email

2. Title:                      Surname:                      Other Names:  
Contact Number:                      Position:                      Email

3. Title:                      Surname:                      Other Names:  
Contact Number:                      Position:                      Email

### Authorised Signatories – Specimen Signatures

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1. Full Name:                      Signature:

2. Full Name:                      Signature:

3. Full Name:                      Signature:

*Print off an extra page if there are additional signatures you wish to present to us.*

**Annexure B – Indemnity Form for Facsimile, Electronic or Telephone Instructions (Optional)**

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At a meeting of the Board of Directors of \_\_\_\_\_ (the "Company")  
held at \_\_\_\_\_ on \_\_\_\_\_ It was resolved that:-

1. FCMB Bank (UK) Limited, (referred to as the "Bank" hereafter) be requested and authorized to accept and act upon instructions delivered orally by telephone or by facsimile or other electronic means (including by way of email) and purporting to be given for and on behalf of the Company from the following individuals: (insert details below)

View Only Instruct Only

Title: Surname: Other Names:

Title: Surname: Other Names:

Title: Surname: Other Names:

In consideration of the Bank agreeing to rely upon instructions delivered as aforesaid, it was further resolved that the Company enter into a funds transfer instruction (the "Funds Transfer Instruction") in favour of the Bank and that the Company expressly discharge the Bank from any liability arising from having carried out such instructions pursuant to the Funds Transfer Instruction.

2. The Company assumes all the risks implied by giving instructions under the Funds Transfer Instruction in the manner described at resolution 1 above and, in particular, to the risk of error in transmission, mistake or identification errors, and fully discharge the Bank from any liability for the same.

3. The Company accepts that the Bank at its sole discretion may from time to time use electronic devices to record any or all telephone instructions. In the event of any dispute as to the content or veracity of any instruction, it was further resolved that the Company acknowledge and accept that the contents of any such recording shall be conclusive and that the Bank's understanding of any oral instructions, including any tape thereof shall be binding upon the Company.

4. In cases of doubt as to the authenticity of any instruction purporting to be given on behalf of the Company, the Bank may at its sole discretion postpone the carrying out of any order given by telephone, facsimile or other electronic means until it has received written confirmation or such other confirmation as it will deem appropriate.

5. The Company **requires** **does not require** (tick as appropriate) the Bank to verify by telephone the authenticity of any instruction received by it (if no tick is made instructions will not be verified). If verification is required the Company agrees to pay the charge for this service as shown in the Bank's Tariff and Charges.

All such matters referred to in resolutions 1-5 above would, after having regard to all relevant matters, be in the best commercial interests of the Company.

**Signed for and on behalf of the Company**

(in accordance with the mandate the Company has already issued to the Bank.)

**Chairman/Director**

**Company Secretary/Director**

*Certified a true copy of the Board Resolution*  
**Company Secretary**

Signature:

Signature:

Signature:

Name:

Name:

Name:

Date:

Date:

Date: :

## Annexure C – Other Information (for Shareholders)

Please fill in the below and circle and tick the appropriate boxes if you are a tax resident in any of the below.

### Full Name:

Albania	Croatia	Ireland	Poland
Andorra	Curacao	Isle of man	Portugal
Anguilla	Cyprus	Italy	Romania
Antigua and Barbuda	Czech Republic	Japan	Russian Federation
Argentina	Denmark	Jersey	Saint Kitts and Nevis
Aruba	Estonia	Korea	Saint Lucia
Australia	Faroe islands	Latvia	Saint Vincent and the Grenadines
Austria	Finland	Liechtenstein	Samoa
Barbados	France	Lithuania	Seychelles
Belgium	Germany	Luxembourg	Sint Maarten
Belize	Ghana	Malaysia	Slovak Republic
Bermuda	Gibraltar	Malta	Slovenia
British Virgin Islands	Greece	Marshall Islands	South Africa
Bulgaria	Greenland	Mauritius	Spain
Canada	Grenada	Mexico	Sweden
Cayman Islands	Guernsey	Monaco	Switzerland
Chile	Hungary	Montserrat	San Marino
Colombia	Israel	Netherlands	Turks & Caicos Islands
Cook Islands	Iceland	New Zealand	United Kingdom
China (people's republic of)	India	Niue	United States of America
Costa Rica	Indonesia	Norway	<b>Not Applicable</b>

Please tick appropriate description below, which identifies the capacity in which you own or *control* the company;

CP* Type	Description	Tick Here
801	CP of legal person – ownership	
802	CP of legal person – other means	
803	CP of legal person – senior managing official	
804	CP of legal arrangement – trust – settlor	
805	CP of legal arrangement – trust – trustee	
806	CP of legal arrangement – trust – protector	
807	CP of legal arrangement – trust – beneficiary	
808	CP of legal arrangement – trust – other	
809	CP of legal arrangement – other – settlor-equivalent	
810	CP of legal arrangement – other – trustee-equivalent	
811	CP of legal arrangement – other – protector-equivalent	
812	CP of legal arrangement – other – beneficiary-equivalent	
813	CP of legal arrangement – other – other-equivalent	

Please supply any relevant information (if any) to support your selection.

\*Controlling Person (CP)



## Annexure D - Checklist

*Please review the checklist and ensure requested items have been provided and the form filled accordingly, please ensure;*

1. The Account Opening Application Form has been completed and signed;
2. The directors have completed page 3 to 8;
3. The shareholders have completed 9 to 12;
4. The list of Signatories have provided in Annexure A – page 13;
5. The Fax, electronic or telephone indemnity form is completed as provided in Annexure B – page 14 to 15.
6. The shareholders have provided additional information required in Annexure C – page 16
7. You have included an original or certified true copy\* of the company's certificate of incorporation;
8. You have included an original or certified true copy\* of the company's memorandum & articles of association or equivalent;
9. Provided all tax relevant information for the company, directors and or shareholders as applicable.
10. 3 years audited financial statements (*if applicable, you'll be advised accordingly*);
11. Completed personal details Form for each director, authorised signatory and personal shareholder holding more than 25% of the Company together with supporting proofs of identity\* and address\*\*;
12. You have completed the FCMB Bank UK Corporate Portal request form, (*if you require the service*).

\*Valid international passport or identity card of an EU member state original or certified true copy.

\*\*Any ONE of the following as proof of address:

- (a) Original or certified\* true copy bank statements for the last 3 months ; or
- (b) Original or certified true copy current (not older than 3 months) utility bill e.g. gas, electricity or water (mobile phone bills or other bills printed off the internet are not acceptable)

*\*Please note Certified True copies have to be verified against sighted originals and signed by an authorised member of staff at FCMB Bank (UK) Limited or First City Monument Bank Ltd or an acceptable authorised Financial Institution or registered solicitor/notary public.*

Upon receipt, we shall carry-out Know Your Customer (KYC) checks and may request further clarifications or additional documentation. FCMB Bank (UK) Limited reserves the right to verify, in certain circumstances, the identity and address of all the shareholders of the company.

Completed documents should be sent directly to the Bank at **81 Gracechurch Street, London EC3V 0AU.**